



Applicant
Title

Filed
TC/A.U.
Examiner
Docket No.
Cust. No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.: 3236

10/735,283
WILLIAM A. GARDNER; PATRICK M. WHELAN; ALAN VIRDEE
SYSTEMS, DEVICES AND METHODS FOR OPENING A BOTTLE SEALED
WITH A STOPPER AND FOR SEALING A BOTTLE
DECEMBER 12, 2003
3727

GAR1756.17A6
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SUPPLEMENTAL DECLARATION

(ORIGINAL, DESIGN, SUPPLEMENTAL, NATIONAL STAGE OF PCT, DIVISIONAL,
CONTINUATION OR CONTINUATION-IN-PART)

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is of the following type:

- ☐ original
- ☐ design
- ☒ supplemental

NOTE: If the declaration is for an International Application being filed as a divisional, continuation, or continuation-in-part, do not check the next item; check appropriate one of last three items.

- ☐ national stage of PCT
- ☐ divisional
- ☐ continuation
- ☒ continuation-in-part

INVENTORSHIP IDENTIFICATION

WARNING: If the inventors are each not the inventors of all of the claims, an explanation of the facts, including the ownership of all the claims at the time the last claimed invention was made, should be submitted.

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention described in

SPECIFICATION IDENTIFICATION

- ☐ the specification filed herewith by the above-named inventors, with the title listed above.
☐ the specification filed herewith by the above-named inventors, with the title listed above, and which was amended by the Preliminary Amendment filed herewith.
☐ the specification identified above, as amended by the Preliminary Amendment filed herewith.
☒ the specification identified above, and which was amended on MAY 21, 2003.
☐ PCT International Application No. _____ filed on _____, and amended under PCT Article 19 on _____ (if applicable).

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

PRIORITY CLAIM (35 U.S.C. § 119(a)-(d))

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or under 35 U.S.C. § 365(b) of any PCT international application(s) designating at least one country other than the United States of America listed below, and have also identified below any foreign application(s) or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

☒ no such applications have been filed.
☐ such application have been filed as follows.

PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119(a)-(d)

| COUNTRY (OR INDICATE IF PCT) | APPLICATION NUMBER | FILING DATE (day, month, year) | PRIORITY CLAIMED UNDER 37 U.S.C. § 119 | |
|---------------------------------|-----------------------|-----------------------------------|---|--------|
| | | | ___ YES | ___ NO |
| | | | ___ YES | ___ NO |
| | | | ___ YES | ___ NO |
| | | | ___ YES | ___ NO |
| | | | ___ YES | ___ NO |

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S) (35 U.S.C. § 119(e))

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional applications (s) listed below:

| PROVISIONAL APPLICATION SERIAL NUMBER | FILING DATE OF PROVISIONAL APPLICATION |
|---------------------------------------|--|
| 60/443,020 | JANUARY 27, 2003 |
| 60/382,410 | MAY 21, 2002 |
| | |
| | |

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATIONS UNDER 35 U.S.C. 120

(complete this part only if this is a divisional, continuation, CIP or national stage of PCT)

I/We hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability under 37 C.F.R. § 1.56, which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

1. U.S. Applications:

| | FILING DATE |
|------------|-------------------|
| 10/443,461 | MAY 21, 2003 |
| 10/306,633 | NOVEMBER 27, 2002 |
| 09/760,375 | JANUARY 12, 2001 |
| 09/273,838 | MARCH 22, 1999 |
| 08/746,799 | NOVEMBER 18, 1996 |

2. PCT Applications Designating The U.S.

| PCT APPLICATION NUMBER | PCT FILING DATE | U.S. SERIAL NUMBER |
|------------------------|-----------------|--------------------|
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DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

Full name of first joint inventor: WILLIAM A. GARDNER

Inventor's Signature: William A. GardnerDate: 7/19/04 Country of Citizenship: UNITED STATES

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Inventor's Signature: Patrick M. WhelanDate: 7/19/04 Country of Citizenship: UNITED STATES

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Inventor's Signature: Alan VirdeeDate: 7/19/04 Country of Citizenship: UNITED STATES

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CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that the foregoing:

Supplemental Declaration

is being deposited with the United States Postal Service on 7/22/04 in
an envelope as "Express Mail Post Office to Addressee" Mailing Label Number
EV352302282US addressed to the: Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.

JOHN P. O'BANION

(Type or print name of person mailing paper)



(Signature of person mailing paper)